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## NOTICE OF ALLOWANCE AND FEE(S) DUE

48581 7590 93/24/2010
BRINKS HOFER GILSON & LIONE/INFINEON INFINEON
PO BOX 10395

EXAMINER

LUKE, DANIEL M

ART UNIT PAPER NUMBER

2813

DATE MAILED: 03/24/2010

CHICAGO, IL 60610

| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |  |  |
|--|-------------|----------------------|------------------------|------------------|--|--|
| 10/575,916   | 12/22/2006  | Rodger Fehlhaber     | 10808/322 (IN1341WOUS) | 5269             |  |  |
| TITLE OF INVENTION: PATTERNING METHOD AND FIELD EFFECT TRANSISTORS |             |                      |                        |                  |  |  |

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 06/24/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT, PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE DEE and DURI ICATION DEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further<br>indicated unless correct<br>maintenance fee notifica   | correspondence including<br>ed below or directed of<br>tions.   | ng the Patent, advance on<br>nerwise in Block 1, by (a  |  |   |  |   | correspondence address as<br>rate "FEE ADDRESS" for  |  |
|--|---|---|--|---|--|---|--|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)   |   |   |  | Note: A certificate of mailing can only be used for domestic mailings of the<br>Fee(s) Transmittal. This certificate cannot be used for any other accompanying<br>pagers. Each additional paper, such as an assignment or formal drawing, must<br>have its own certificate of mailing or transmission.          |  |   |  |  |
| 48581  |   | V2010   |  | Cer   | tificate                                       | of Mailing or Trans   | mission  |  |
| BRINKS HOFER GILSON & LIONE/INFINEON<br>INFINEON<br>PO BOX 10395   |   |   |  | I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stoy ISSUE FIE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. |  |   |  |  |
| CHICAGO, IL 6  | 50610   |   |  |   |  |   | (Depositor's name)   |  |
|  |   |   |  |   |  |   | (Signature)  |  |
|  |   |   | L  |   |  |   | (Date)   |  |
| APPLICATION NO.  | FILING DATE   |   | FIRST NAMED INVENTOR   | 3   | ATTO   | RNEY DOCKET NO.   | CONFIRMATION NO.   |  |
| 10/575,916   | 12/22/2006  |   | Rodger Fehlhaber   |   | 10808  | 322 (IN1341WOUS)  | 5269   |  |
| TITLE OF INVENTION   | : PATTERNING METE   | IOD AND FIELD EFFEC   | T TRANSISTORS  |   |  |   |  |  |
|  |   |   |  |   |  |   |  |  |
|  |   |   |  |   |  |   |  |  |
| APPLN, TYPE  | SMALL ENTITY  | ISSUE FEE DUE   | PUBLICATION FEE DUE  |   | E FEE  | TOTAL FEE(S) DUE  | DATE DUE   |  |
| nonprovisional   | NO  | \$1510  | \$300  | \$0   |  | \$1810  | 06/24/2010   |  |
| EXAM   | IINER   | ART UNIT  | CLASS-SUBCLASS   | ;   |  |   |  |  |
| LUKE, D  |   | 2813  | 216-011000   | _   |  |   |  |  |
| <ol> <li>Change of correspondence address or indication of "Fee Address" (37<br/>CFR 1.363).</li> </ol>  |   |   | 2. For printing on the patent front page, list   |   |  |   |  |  |
|  | CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.   |   |  |   |  |   |  |  |
| Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SIb/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. |   |   | (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be critically and the content of th |   |  |   |  |  |
|  |   | TO BE DRIVETED ON   | THE PATENT (print or ty  | ·   |  |   |  |  |
|  |   |   |  |   | ee is id                                       | dentified below, the de   | ocument has been filed for   |  |
| (A) NAME OF ASSI   |   |   | (B) RESIDENCE: (CIT  |   |  |   |  |  |
|  |   |   |  |   |  |   |  |  |
| Please check the appropr   | iate assignee category or   | categories (will not be pr  | rinted on the patent):   | Individual 🗆 Co   | orporati                                       | ion or other private gro  | up entity Government   |  |
| 4a. The following fee(s)   | are submitted:  | 41  | b. Payment of Fee(s): (Ple   | ase first reapply a   | ny prev  | lously paid issue fee   | shown above)   |  |
| Issue Fee  | T11it di  | itt-fb  | A check is enclosed.   | F PTO 2026  |  | and a di  |  |  |
| □ Publication Fee (No small entity discount permitted) □ Advance Order - # of Copies □ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit a  |   |   |  |   | ficiency, or credit any                        |   |  |  |
|  |   |   | overpayment, to Dep  | ósit Account Numb   | er   | (enclose a  | extra copy of this form).  |  |
| 5. Change in Entity Sta  | tus (from status indicate<br>is SMALL ENTITY stati  |   | ☐ b. Applicant is no lo  | nger claiming SMAi  | LLEN'  | FITY status Sec 37 CF   | R 1 27(e)(2)   |  |
|  |   |   |  |   |  |   | e assignee or other party in   |  |
| Authorized Signature   |   |   |  | Date  |  |   |  |  |
| Typed or printed name  |   |   | Registration No.   |   |  |   |  |  |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223                  | nation is required by 37 C<br>tiality is governed by 35<br>d application form to the<br>ions for reducing this bu<br>(irginia 22313-1450. DC<br>k13-1450. | CFR 1.311. The informatis<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the<br>ONOT SEND FEES OR | on is required to obtain or<br>1.14. This collection is ear<br>depending upon the indi<br>the Chief Information Offic<br>COMPLETED FORMS T   | retain a benefit by t<br>stimated to take 12 t<br>vidual case. Any co<br>eer, U.S. Patent and<br>O THIS ADDRESS   | he pub<br>minuter<br>mment<br>Trader<br>S. SEN | lic which is to file (and<br>s to complete, includin<br>is on the amount of tir<br>nark Office, U.S. Deps<br>D TO: Commissioner I | by the USPTO to process)<br>g gathering, preparing, and<br>ne you require to complete<br>utment of Commerce, P.O.<br>for Patents, P.O. Box 1450, |  |

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| 10/575,916                        | 12/22/2006       | Rodger Fehlhaber     | 10808/322 (IN1341WOUS)          | 5269             |  |
| 48581 75                          | 590 03/24/2010   |                      | EXAM                            | INER             |  |
| BRINKS HOFEI                      | R GILSON & LIONE | LUKE, DANIEL M       |                                 |                  |  |
| INFINEON                          |                  |                      | ART UNIT                        | PAPER NUMBER     |  |
| PO BOX 10395<br>CHICAGO, IL 60610 |                  |                      | 2813<br>DATE MAILED: 03/24/2010 | )                |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 369 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 369 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

| Application No. | Applicant(s)     |  |  |  |
|-----------------|------------------|--|--|--|
| 10/575,916      | FEHLHABER ET AL. |  |  |  |
| Examiner        | Art Unit         |  |  |  |
| DANIEL LUKE     | 2813             |  |  |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to the amendment filed 30 December 2009.
- The allowed claim(s) is/are 19-22,24,27-29 and 34-39.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - b) ☐ Some\* c) ☐ None of the: a) 🔯 All
    - 1. A Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No.
    - 3. 
      ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) hereto or 2) to Paper No./Mail Date
    - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

### Attachment(s)

- 1. | Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).
- Paper No./Mail Date
- 4. T Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. X Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. ☐ Other .

/Matthew C. Landau/

Supervisory Patent Examiner, Art Unit 2813

Application/Control Number: 10/575,916 Page 2

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EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or

additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR

1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with

Edward Machado on 3/12/2010.

The application has been amended as follows:

Claim 19 shall be entered as follows:

producing the cutout in the

auxiliary layer and the carrier material in accordance with the mask layer;

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Claim 29 shall be entered as follows: The method of claim 24, further comprising: oxidizing the semiconductor material in [[the]] a region between the cutout and [[the]] a further cutout.

Claims 25 and 30-33 shall be cancelled.

# Drawings

The objection to the drawings has been withdrawn, since the drawings do show the step of producing at least one further cutout in FIG. 2C.

# Reasons for Allowance

The following is an examiner's statement of reasons for allowance:

Applicant has amended independent claim 19 to include the limitations of now cancelled claim 25, which was previously indicated as being allowable in the office action dated 7/30/2009

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DANIEL LUKE whose telephone number is (571)270-1569. The examiner can normally be reached on Monday through Friday 8:30 a.m. to 5:00 p.m. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Landau can be reached on (571) 272-1731. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/D. L./ Examiner, Art Unit 2813 3/13/2010 /Matthew C. Landau/ Supervisory Patent Examiner, Art Unit 2813